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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yong Liang CHU, et al.

ART UNIT: 1642

SERIAL NO.: 10/035,223

EXAMINER:

FILING DATE: January 4, 2002

FOR: COMPOUNDS FOR DELIVERING SUBSTANCES INTO CELLS

RECEIVED

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**PRELIMINARY AMENDMENT**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, entry of the following amendment is respectfully requested.

**IN THE CLAIMS:**

Please add new claims as follows:

--37. (New) A method for introducing a substance into cells comprising incubating one or more cells with a compound as set forth in Claim 1, wherein the compound is associated with a pharmacological agent or a genetic material.

38. (New) The method of Claim 37, wherein the compound is associated with a pharmacological agent selected from the group consisting of non-peptide drugs, proteins, peptides, steroids and hormones.

39. (New) The method of Claim 37, wherein the compound is associated with a genetic material selected from the group consisting of DNA, RNA, oligonucleotides, and nucleic acids.